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APR 29 2014  
BY: Compliance Office



Bernalillo County Compliance Office

Robert Kidd, Compliance Officer

Bernalillo County Annex, 415 Tijeras NW, First Floor, Albuquerque, NM 87102  
(505) 468-1382 Compliance@bernco.gov

Code of Conduct Complaint 2014-001

PRINT, COMPLETE AND HAVE NOTARIZED PRIOR TO FILING

(Information detailed in this Report will be shared with the Respondent and is a Public Record)

DATE: 4-28-2014 NAME OF COMPLAINANT: George M. Richmond  
MAILING ADDRESS: 152 Juniper Hill Rd NE CITY: ABQ STATE: \_\_\_\_\_ ZIP: 87122  
EMAIL: GeoMRich1@comcast.net DAYTIME PHONE: 505-866-0245 ALTERNATE PHONE: \_\_\_\_\_  
FULL NAME OF RESPONDENT MANNY ORTIZ DATE OF INCIDENT: 01/11/2013/2014  
SECTIONS OF CODE OF CONDUCT POTENTIALLY VIOLATED: Sec 2.131

DESCRIPTION OF MISCONDUCT OR WRONG DOING WITNESSED: Bernalillo County  
Treasurer MANNY ORTIZ did not file  
County Disclosure forms in January 2013 and 2014  
(See Attached Emails from  
Roman Montoya, Deputy County Clerk

CHECK HERE IF CONTINUED ON SEPARATE SHEET  
I, George M. Richmond, do depose on oath or affirmation and say that the information disclosed herein is true and accurate to the best of my knowledge on this 28 day of April, 2014.

[Signature]  
Signature of Complainant



SUBSCRIBED AND SWORN TO before me this day of April 28<sup>th</sup>, 2014 by George M. Richmond  
[Signature]  
NOTARY PUBLIC Annette Lucero

My Commission Expires December 8, 2014

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**From:** Nancy Back  
**Sent:** Wednesday, April 23, 2014 2:46 PM  
**To:** Roman Montoya; '[geomrich1@comcast.net](mailto:geomrich1@comcast.net)'  
**Cc:** Patricia Nicasio; Rebecca A. Martinez (CK)  
**Subject:** FW: Information Request

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**From:** George Richmond [<mailto:geomrich1@comcast.net>]  
**Sent:** Wednesday, April 23, 2014 2:38 PM  
**To:** clerk  
**Subject:** Information Request

Today I heard the County Compliance Officer, Robert Kidd, speak about the Ethics Compliance Program. Mr. Kidd said that all elected County officials must file with your office information on their outside jobs/businesses.

So, I am requesting all information on County Treasurer Manny Ortiz to include the periods when he was Deputy Treasurer and including all reports he filed as Treasurer.  
If his tax returns are available, I would like those as well.

Thank you very much.  
If you need me to file this request in another manner, please advise what that format is.

George Richmond

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George M. Richmond  
152 Juniper Hill Road, NE  
Albuquerque, NM 87122

T: 505-856-0245  
C: 505-280-2105

**Subject:** RE: Information Request  
**From:** Roman Montoya <romontoya@berncogov>  
**Date:** 4/25/2014 3:44 PM  
**To:** 'George Richmond' <geomrich1@comcast.net>

George,

After researching the Financial Disclosure forms that you have requested, all current elected officials have submitted their forms with the exception of Treasurer Manny Ortiz.

Please let me know if you have any questions about it. Thanks. Roman.

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**From:** George Richmond [mailto:geomrich1@comcast.net]  
**Sent:** Thursday, April 24, 2014 2:59 PM  
**To:** Roman Montoya  
**Cc:** Patricia Nicasio; Rebecca A. Martinez (CK); Nancy Back  
**Subject:** Re: Information Request

Any news on the form for Bernco Treasurer Manny Ortiz?

How many other Bernco Elected Officials have not submitted their form in 2013 and 2014?

George Richmond

On 4/23/2014 6:37 PM, Roman Montoya wrote:

George,

The form on which elected officials must file certain financial interests in is the Gift/Financial Disclosure Form. Here is a section from the Code of Conduct Ordinance Sec. 2-131. in which Robert Kidd's office oversees:

"Within ten days after swearing in of any elected official, the elected official shall file a statement of economic interest with the Bernalillo County Clerk. Amended statements of economic interest shall be filed on an annual basis or before the 11th day of January of each year."

I believe this is the form you are referring to below. If this is the case, then I will look to see what was filed by County Treasurer Manny Ortiz. If this is not the form you are referring to then please let me know. Thanks.

Sincerely,

Roman Montoya  
Deputy County Clerk  
Bernalillo County  
(505) 252-1845